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ATTORNEY DOCKET NO. 217100003377

USSN 09/773,139

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: OTERO *et al.*

Serial No.: 09/773,139

Group No.: 2164

Filed: January 31, 2001

Examiner: Not yet assigned

For: APPARATUS, METHODS AND ARTICLES OF MANUFACTURE FOR CONSTRUCTING
AND EXECUTING COMPUTERIZED TRANSACTION PROCESSES AND PROGRAMS

I, Joseph E. Chovanes, Registration No. 33,481, certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on May 23, 2001

BOX MISSING PART

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION (FILING DATE GRANTED) and
REQUEST FOR EXTENSION OF TIME**

In reply to the "Notice to File Missing Parts of Application-Filing Date Granted" mailed March 16, 2001 to which a response is due June 16, 2001, enclosed are the following:

- [x] Copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION-- FILING DATE GRANTED (37 CFR 153(b).
- [X] DECLARATION FOR UTILITY APPLICATION USING AN APPLICATION DATA SHEET executed by the inventor(s).
- [X] POWER OF ATTORNEY/AUTHORIZATION OF AGENT executed by the inventor(s).



ATTORNEY DOCKET NO. 21710-68977

USSN 09/773,139

- ☒ Request is hereby made to extend the time for response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION--FILING DATE GRANTED dated March 16, 2001 to and through June 16, 2001 in the above-identified application.

	Other Than Small Entity	Small Entity
One Month	<u> </u> \$ 55	<u>X</u> \$110
Two Months	<u> </u> \$ 195	<u> </u> \$390
Three Months	<u> </u> \$ 445	<u> </u> \$890
Four Months	<u> </u> \$ 695	<u> </u> \$1390

- ☒ The following fees are enclosed to cover the:

<input checked="" type="checkbox"/> Surcharge	\$130.00
<input checked="" type="checkbox"/> Extension of Time	\$110.00
<input type="checkbox"/> Statutory Basic Filing Fee	\$
<input type="checkbox"/> Additional claim fees including multiple dependent claims	\$

Total Amount Due: \$240.00

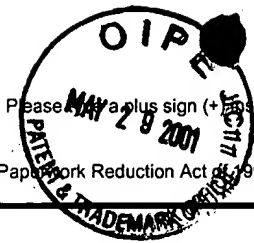
- ☐ Please charge the surcharge to my Deposit Account No. _____ in the amount of \$____. This sheet is attached in duplicate.
- ☒ Please charge any deficiency or credit any overpayment to Deposit Account No. 50-0979.

Respectfully submitted,

Joseph E. Chovanes
Registration No. 33,481

Date: May 23, 2001

DILWORTH PAXSON LLP
3200 Mellon Bank Center
1735 Market Street
Philadelphia, PA 19103-7595



Please use a plus sign (+) beside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Applicati n Numb r	09/773,139
Filing Dat	01/31/2001
First Named Inventor	OTERO
Group Art Unit	2164
Examiner Name	Not Yet Assigned
Attorney Docket Number	21710-68377

Total Number of Pages in this Submission

12

ENCLOSURES (check all that apply)

- | | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers/Recordation Cover Sheet (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Extension of Time Request | <input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | RETURN RECEIPT POSTCARD |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application | <u>Remarks</u> | |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

CUSTOMER NO. 27730

Firm
or
Individual name

Joseph E. Chovanes

Signature

Date

May 23, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents, Washington, DC 20231 on this date: May 23, 2001.

Typed or printed name

Joseph E. Chovanes (Registration No. 33,481)

Signature

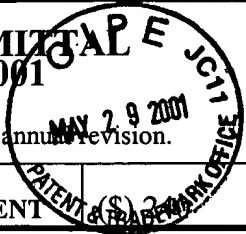
Date

May 23, 2001

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FEE TRANSMITTAL E
for FY 2001

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT****METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account

50-0979

Deposit Account Name

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed**

- ☒
- Check
- ☐
- Credit Card
- ☐
- Money Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
101	710	201	355	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee

SUBTOTAL (1)**2. EXTRA CLAIMS FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims	— - 20** =	— X 18 =	\$
Independent Claims	— - 3** =	— X 80 =	\$
Multiple Independent	0 +	270 =	\$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee Code	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

**or number previously paid, if greater; For Reissue, see above

SUBMITTED BY CUSTOMER NO. 27730**Complete if known**

Application Number	09/773,139
Filing Date	01/31/2001
First Named Inventor	OTERO
Examiner Name	not yet assigned
Group Art Unit	2164
Attorney Docket No.	21710-68377

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petition to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)**Complete (if applicable)**

Name (Print/Type)	Joseph E. Chovanes	Registration No. (Attorney/Agent)	33,481	Telephone	(215) 575-7000
Signature		Date	May 23, 2001		



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/773,139	01/31/2001	Hernan G. Otero	21710-CIPHER1

CONFIRMATION NO. 5404

FORMALITIES LETTER



OC000000005871638

27730
DILWORTH PAXSON LLP
3200 MELLON BANK CENTER
1735 MARKET STREET
PHILADELPHIA, PA 19103

Date Mailed: 03/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Meseret Kamo

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/31/2001 EEKUBAY1 00000031 09773139

01 FC:105

130.00 OP